

# M-theory, gauged supergravity and holography

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L2C Montpellier

Based on

[arXiv:2210.09318]

and [2407.13177]; [2312.08909]; [2304.01734]; [2106.04581]

with

N. Bobev & J. Hong,

A. Charles, S. Choi, K. Hristov

# Introduction

- ▶ Want to study quantum gravity: partition functions, correlators, etc... via e.g. a path integral → **very hard**, GR is insufficient (non-renormalizable).
- ▶ Good “top-down” candidate for quantum gravity is string/M-theory.

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- ▶ Path integrals in string theory are... **still hard**.
- ▶ ‘t Hooft: large  $N$  limit of gauge theories resemble string theories

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$$\log Z = \sum_{g \geq 0} N^{2-2g} \mathcal{F}_g(\lambda)$$

- ▶ The AdS/CFT correspondence relates observables

$$Z(\text{CFT}_{d-1}) = Z(\text{string/M on AdS}_d \times X_{D-d})$$

- ▶ Use CFT to learn about quantum gravity.

- ▶ Can we compute path integrals in CFTs?

$$Z(\text{CFT}) = \langle \mathbb{1} \rangle = \int \mathcal{D}\Phi e^{-S[\Phi]} = \dots?$$

- ▶ “Keep calm and use SUSY”.

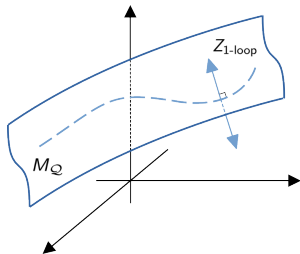
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- ▶ “Keep calm and use SUSY”.
- ▶ Supercharge  $Q$  localizes observables to susy configurations

$$Z(\text{SCFT}) = \int_{M_Q} d\phi \mu(\phi) e^{-S[\phi]} Z_{1\text{-loop}}(\phi)$$

- ▶ All non-susy modes are “paired up”, only contributions from  $Q\phi = 0$  modes.
- ▶ What remains is (often) a finite-dimensional manifold  $M_Q$ .
- ▶ [This talk](#): exact CFT results give access to quantum corrections in gravity.



- ▶ Study 3d  $\mathcal{N} \geq 2$  SCFTs describing the low-energy limit of  $N$  M2 branes probing a conical singularity

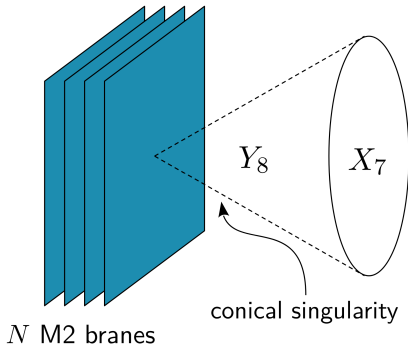
Chern-Simons-matter (CSm) theories  
dual to  
M-theory on  $\text{AdS}_4 \times X_7$

Choice of Sasaki-Einstein  $X_7 \rightarrow$  CSM

$$X_7 = S^7/\mathbb{Z}_k \text{ (free)} \rightarrow \text{ABJM}$$

$$X_7 = S^7/\mathbb{Z}_{N_f} \text{ (f.p.)} \rightarrow \text{ADHM}$$

$$X_7 = N^{010}, V^{52}, Q^{111}, \dots$$





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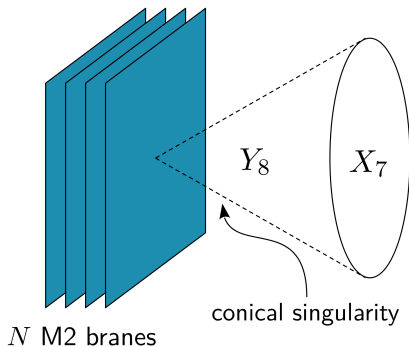
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- ▶ Focus on [ABJM theory](#) for concreteness (ask me about other SCFTs).

# The ABJM partition function

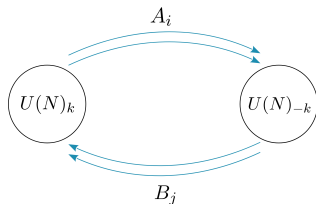
- ▶ ABJM is a 3d Chern-Simons-matter theory with high ( $\mathcal{N} = 6$ ) supersymmetry.

[Aharony, Bergman, Jafferis, Maldacena '08]

- ▶ Matter with quartic superpotential

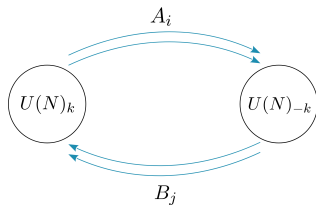
$$W = \frac{4\pi}{k} \text{Tr}[A_1 B_1 A_2 B_2 - A_1 B_2 A_2 B_1]$$

where the CS level is like a “coupling”  $g \sim 1/k$



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- ▶ Exact partition function on  $S^3$  via localization

[Kapustin, Willett, Yaakov '09]

$$Z(S^3) = \frac{1}{(N!)^2} \int \frac{d^N \mu}{(2\pi)^N} \frac{d^N \nu}{(2\pi)^N} \exp \left[ \frac{ik}{4\pi} \sum_{i=1}^N (\mu_i^2 - \nu_i^2) \right] Z_{1\text{-loop}}$$

$$Z_{1\text{-loop}} = \frac{\prod_{i < j} \left( 2 \sinh \left( \frac{\mu_i - \mu_j}{2} \right) \right)^2 \left( 2 \sinh \left( \frac{\nu_i - \nu_j}{2} \right) \right)^2}{\prod_{i,j} \left( 2 \cosh \left( \frac{\mu_i - \nu_j}{2} \right) \right)^2}$$

1-loop factor includes vector multiplets & matter multiplets.

- ▶ Consider the 't Hooft limit where  $N \rightarrow \infty$  and  $\lambda = N/k \sim g N$  fixed.
- ▶ At small  $\lambda$ , the theory is (roughly) 2 independent CS theories. Saddle-pt:

$$\mathcal{F}_0(\lambda) = N^2 \left[ \log(2\pi\lambda) - \frac{3}{2} - 2 \log 2 + \mathcal{O}(\lambda^2) \right]$$

→ the planar free energy “counts” the  $N^2$  dofs of the  $U(N)$  nodes.

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→ the planar free energy “counts” the  $N^2$  dofs of the  $U(N)$  nodes.

- ▶ At large  $\lambda$ , the theory is dual to **classical gravity on  $AdS_4$**  with  $\partial(AdS_4) = S^3$
- ▶ GR predicts the leading behavior

$$\mathcal{F}_0(\lambda) = N^2 \left[ -\frac{\pi\sqrt{2}}{3\sqrt{\lambda}} + \mathcal{O}(\lambda^{-3/2}) \right]$$

→ at fixed  $k$  and large  $N$  we expect a cancellation of the leading order eigenvalue distribution from  $N^2$  down to  $\sqrt{k}N^{3/2}$ .

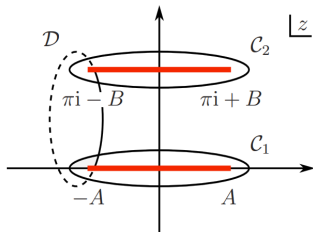
- ▶ Can we compute the **exact interpolating function**?

- ▶ From saddle-pt, introduce the **resolvent**  $\omega(z) = \omega^{(\mu)}(z) + \omega^{(\nu)}(z + i\pi)$

$$\omega^{(\kappa)}(z) = \frac{2\pi i}{k} \left\langle \sum_{i=1}^N \coth\left(\frac{z - \kappa_i}{2}\right) \right\rangle$$

- ▶ As  $N \rightarrow \infty$  eigenvalues condense on **two cuts** and planar resolvent  $\omega_0(z)$  fixed by analyticity.

[Brézin, Itzykson, Parisi, Zuber '78; ...]



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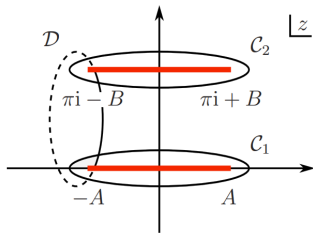
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- ▶ Planar free energy as a **period integral**

$$\partial_\lambda \mathcal{F}_0(\lambda) = \int_{\mathcal{D}} \omega_0(z) dz$$



[Drukker, Mariño, Putrov '10]

→ **exact result** in terms of hypergeometric & Meijer functions.

- ▶ Recovers weak coupling and reveals the full strong coupling expansion

$$\mathcal{F}_0(\lambda) = N^2 \left[ -\frac{\pi\sqrt{2}}{3} \lambda^{-2} \left( \lambda - \frac{1}{24} \right)^{3/2} - \frac{\zeta(3)}{8\pi^2} \lambda^{-2} + \mathcal{O}(e^{-\sqrt{\lambda}}) \right]$$



► Going beyond the planar limit is **challenging**.

► Two methods:

1. ABJM on  $S^3 \leftrightarrow$  CS on  $S^3/\mathbb{Z}_2 \leftrightarrow$  **Topological String** on local  $\mathbb{P}^1 \times \mathbb{P}^1$   
then use direct integration of the holomorphic anomaly equation

[Drukker, Mariño, Putrov '10; Fuji, Hirano, Moriyama '11]

2. Rewrite  $Z(S^3)$  as a **free Fermi gas** of  $N$  particles with density matrix  $\rho$

$$Z(S^3) = \frac{1}{N!} \sum_{\sigma \in S_N} (-1)^{\text{sig}(\sigma)} \int d^N y \prod_{i=1}^N \rho(y_i, y_{\sigma(i)})$$

QM with  $[\hat{x}, \hat{p}] = 2\pi i k$  so strong coupling expansion  $\leftrightarrow$  WKB expansion.

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[Mariño, Putrov '11]

► Both 1. & 2. give the full resummation at large  $N$  and fixed  $k$

$$Z(S^3) = \left(\frac{2}{\pi^2 k}\right)^{-1/3} e^{-A(k)} \text{Ai}\left[\left(\frac{2}{\pi^2 k}\right)^{-1/3} \left(N - \frac{1}{3k} - \frac{k}{24}\right)\right] + \mathcal{O}(e^{-\sqrt{N}})$$

# The holographic vantage point

- ▶ How does gravity see the CFT result? Expand the free energy at large  $N$

$$\mathcal{F}(S^3) = -\frac{\pi\sqrt{2k}}{3}N^{3/2} + \frac{\pi(k^2 + 8)}{24\sqrt{2k}}N^{1/2} - \frac{1}{4}\log N + \dots + \mathcal{O}(e^{-\sqrt{N}})$$

- ▶ The LO term is recovered from classical gravity on AdS.

[Emaran, Johnson, Myers '99]

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- ▶ Start with two-derivative Einstein-Maxwell action

$$S_0 = -\frac{1}{16\pi G_N} \int_{M_4} d^4x \sqrt{g} \left[ R + 6L^{-2} - \frac{1}{4} F^2 \right]$$

- ▶ For  $M_4$  asymptotically AdS, add counter-terms

$$S_{0,\text{CT}} = -\frac{1}{8\pi G_N} \int_{\partial M_4} d^3x \sqrt{h} \left[ K - 2L^{-1} - \frac{L}{2} R_{(3)} \right]$$

- ▶ Evaluate on-shell for  $\text{AdS}_4$  with  $S^3$  boundary and translate to CFT variables

$$\mathcal{I}_0 = \frac{\pi L^2}{2G_N} = \frac{\pi\sqrt{2k}}{3} N^{3/2}$$

- ▶ How do we get the **NLO** correction?
- ▶ Higher-derivative corrections with couplings  $\lambda_{1,2}$

$$S = S_0 + \frac{\lambda_1}{32\pi^2} S_W + \frac{\lambda_2}{32\pi^2} S_{GB}$$

- ▶ Thanks to susy, only two HD Lagrangians

$$\begin{aligned} \mathcal{L}_W = & W^2 - L^{-2} F^2 + \frac{1}{2} (F^+)^2 (F^-)^2 - 4 F_{\mu\nu}^- R^{\mu\rho} F_{\rho}^{+\nu} \\ & + 8 (\nabla^\mu F_{\mu\nu}^-) (\nabla^\rho F_{\rho}^{+\nu}) + \frac{1}{6} (R + 12L^{-2})^2 \end{aligned}$$

$$\mathcal{L}_{GB} = (R_{\mu\nu\rho\sigma})^2 - 4R_{\mu\nu}R^{\mu\nu} + R^2$$

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- ▶ Must also include appropriate counter-terms.
- ▶ Evaluating on AdS<sub>4</sub> yields

$$\mathcal{I} = \left[ \frac{\pi L^2}{2G_N} - \lambda_1 \right] + (\lambda_1 + \lambda_2) \chi(\text{AdS}_4) = \frac{\pi L^2}{2G_N} + \lambda_2$$

- ▶ Two effects **compete** at  $\mathcal{O}(N^{1/2})$ : HD and dictionary corrections.

# Adding deformations



- ▶ In the CFT, turn on real masses  $m_{1,2,3}$  in the Cartan of the flavor group.
- ▶ Convenient to use linear combinations

$$\Delta_1 = \frac{1 - i(m_1 + m_2 + m_3)}{2}, \quad \Delta_2 = \frac{1 - i(m_1 - m_2 - m_3)}{2}$$

$$\Delta_3 = \frac{1 + i(m_1 + m_2 - m_3)}{2}, \quad \Delta_4 = \frac{1 + i(m_1 - m_2 + m_3)}{2}$$

with linear constraint  $\sum_a \Delta_a = 2$

- ▶ Localization gives a finite-dimensional integral, but now

$$Z_{1\text{-loop}} = \text{VMs} \times \prod_{i,j} s\left(\Delta_1 + \frac{\mu_i - \nu_j}{2\pi}\right) s\left(\Delta_2 + \frac{\mu_i - \nu_j}{2\pi}\right)$$

$$s\left(\Delta_3 - \frac{\mu_i - \nu_j}{2\pi}\right) s\left(\Delta_4 - \frac{\mu_i - \nu_j}{2\pi}\right)$$

where  $s(z) = \prod_{m,n=0}^{\infty} \frac{m+n+1-iz}{m+n+1+iz}$

- ▶ Now have a full function  $Z(\Delta_a)$  to compute.

- ▶ No topological string or Fermi gas approach available for generic  $\Delta$ .
- ▶ Can attack the problem numerically:
  1. at fixed  $k$  [Bobev, de Smet, Hong, VR, Zhang; WIP]
  2. in the 't Hooft limit with fixed  $\lambda = N/k$  [Geukens, Hong '24]
- ▶ Analytic results available for “special”  $\Delta$ -configurations. [Nosaka '15]

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▶ All results point to the Airy form

$$Z(S^3; \Delta) = e^{\mathcal{A}(k, \Delta)} C_{\Delta}^{-\frac{1}{3}} \text{Ai} \left[ C_{\Delta}^{-\frac{1}{3}} (N - B_{\Delta}) \right] + \mathcal{O}(e^{-\sqrt{N}})$$

$$C_{\Delta} = \frac{1}{8\pi^2 k} \frac{1}{\prod_a \Delta_a}, \quad B_{\Delta} = \frac{k}{24} - \frac{\sum_a \Delta_a^{-1}}{12k} + \frac{1 - \frac{1}{4} \sum_a \Delta_a^2}{12k \prod_a \Delta_a}$$

▶ Reduces to known case when  $\Delta_a = \frac{1}{2}$  (i.e.  $m_i = 0$ ).

▶ Compatible with exchange symmetries of the  $\Delta_a$  in the localized integral.

- ▶ Expand the Airy conjecture at large  $N$

$$\mathcal{F}(S^3; \Delta) = -\frac{\pi\sqrt{2k}(16N^{3/2} - kN^{1/2})}{12} \sqrt{\prod \Delta_a} - \frac{2\pi}{3\sqrt{2k}} N^{1/2} F^{(0)}(\Delta) + \dots$$

$$F^{(0)}(\Delta) = \frac{(\sum \Delta_a)^2 - \sum \Delta_a^2}{8\sqrt{\prod \Delta_a}} - \sqrt{\prod \Delta_a} \frac{\sum \Delta_a^{-1}}{\sum \Delta_a}$$

- ▶ The deformation distinguishes the  $N^{1/2}$  corrections based on **homogeneity degree** of the  $\Delta$ -dependence.

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- ▶ The deformation distinguishes the  $N^{1/2}$  corrections based on **homogeneity degree** of the  $\Delta$ -dependence.
- ▶ ... but the conjecture goes further: resum the  $1/N$  corrections

$$\mathcal{F}(S^3; \Delta) = -\frac{4\pi\sqrt{2k}}{3} \left(N - \frac{k}{24}\right)^{3/2} \sqrt{\prod \Delta_a} - \frac{2\pi}{3\sqrt{2k}} \left(N - \frac{k}{24}\right)^{1/2} F^{(0)}(\Delta) \\ + \text{lower homogeneity degree} + N\text{-independent} + \mathcal{O}(e^{-\sqrt{N}})$$

- ▶ Different organizing principle, based on homogeneity degree in  $\Delta$ .

- ▶ On the gravity side, mass deformation  $\rightarrow$  scalar fields  $z_a$
- ▶ Susy dictates that the scalars sit inside **vector multiplets**.

[Freedman, Pufu '13]

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- ▶ Evaluate the gravity action on  $\text{AdS}_4$  while keeping the VMs **off-shell**

$$\mathcal{I} = \frac{2\pi L^2}{G_N} \sqrt{\prod z_a} + \text{lower homogeneity degree in } z_a$$

- ▶ Gives the **quantum corrections** to dictionary

$$\frac{L^2}{G_N} = \frac{2\sqrt{2k}}{3} \left( N - \frac{k}{24} \right)^{3/2} = \frac{2\sqrt{2k}}{3} \left( N^{3/2} - \frac{k}{16} N^{1/2} + \dots \right)$$

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- ▶ and the value of the four-derivative coupling  $\lambda_2$  in the M-theory EFT.
- ▶ In fact, mass-deformed CFT encodes the full  $z$ -dependence.



- ▶ Because of susy, the complete action of VMs coupled to gravity is controlled by a single function: [the prepotential](#)

$$F(z) = \sum_{p,q=0}^{\infty} F^{(2-2p-2q)}(z) \text{GB}^p W^{2q}$$

- ▶ CFT localization computes this prepotential, e.g. for  $(p, q) = (1, 0)$

$$F^{(0)}(z) = \frac{(\sum z_a)^2 - \sum z_a^2}{8\sqrt{\prod z_a}} - \sqrt{\prod z_a} \frac{\sum z_a^{-1}}{\sum z_a}$$

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- ▶ Reminiscent of [ungauged](#) supergravity ( $L \rightarrow \infty$ ) where string amplitudes at genus  $g$  give rise to

$$\sum_g F_g(z) W^{2g}$$

couplings in the 4d effective action.

[\[Antoniadis, Gava, Narain, Taylor '93\]](#)

- ▶  $F_g$  computed by topological strings on  $CY_3$

[\[Bershadsky, Cecotti, Ooguri, Vafa '93\]](#)

# Type IIA string amplitudes

- ▶ Access the IIA regime using that metric on  $S^7/\mathbb{Z}_k$  is a fibration over  $\mathbb{CP}^3$

$$ds_{S^7/\mathbb{Z}_k}^2 = \frac{1}{k^2}(d\varphi + k\omega)^2 + ds_{\mathbb{CP}^3}^2$$

$d\omega$  is the Kähler form on the base.

- ▶ Reducing along the fiber gives the  $\text{AdS}_4 \times \mathbb{CP}^3$  background of Type IIA.
- ▶ The string coupling is

$$g_{\text{st}} = \frac{1}{k} \frac{L}{\ell_s}$$

- ▶ Perturbative string theory in the limit  $N \rightarrow \infty$  and  $\lambda = N/k$  fixed.

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- ▶ Perturbative string theory in the limit  $N \rightarrow \infty$  and  $\lambda = N/k$  fixed.
- ▶ Organize the large  $N$  CFT result à la 't Hooft

$$\mathcal{F}(S^3) = \sum_{g \geq 0} \left( \frac{2\pi i \lambda}{N} \right)^{2g-2} \mathcal{F}_g(\lambda)$$

- ▶ Corresponds to the genus expansion of the free energy on IIA side.

- Without mass deformations, use analytic Airy of M-theory to obtain

$$\mathcal{F}_0(\lambda) = \frac{4\pi^3\sqrt{2}}{3} \hat{\lambda}^{3/2} + \frac{\zeta(3)}{2}$$

$$\mathcal{F}_1(\lambda) = \frac{\pi}{3\sqrt{2}} \hat{\lambda}^{1/2} - \frac{1}{4} \log \hat{\lambda} + \frac{1}{6} \log \lambda + 2\zeta'(-1) - \frac{3}{4} \log 2 + \frac{1}{6} \log \frac{\pi}{2}$$

$$\mathcal{F}_2(\lambda) = \frac{5}{96\pi^3\sqrt{2}} \hat{\lambda}^{-3/2} - \frac{1}{48\pi^2} \hat{\lambda}^{-1} + \frac{1}{144\pi\sqrt{2}} \hat{\lambda}^{-1/2} - \frac{1}{360}$$

$$\mathcal{F}_3(\lambda) = \frac{5}{512\pi^6} \hat{\lambda}^{-3} - \frac{5}{768\pi^5\sqrt{2}} \hat{\lambda}^{-5/2} + \frac{1}{1152\pi^4} \hat{\lambda}^{-2}$$

$$- \frac{1}{10368\pi^3\sqrt{2}} \hat{\lambda}^{-3/2} - \frac{1}{22680}$$

...

$$\hat{\lambda} = \lambda - \frac{1}{24}$$

- genus- $g$  free energies of Type IIA on  $\text{AdS}_4 \times \mathbb{CP}^3$  up to  $\mathcal{O}(e^{-\sqrt{\lambda}})$

- ▶ Duality ABJM on  $S^3 \leftrightarrow$  Topological String on local  $\mathbb{P}^1 \times \mathbb{P}^1$ .
- ▶ Useful for **non-perturbative** free energies. At  $g = 1$

[Huang,Klemm'06; Mariño,Pasquetti,Putrov'09; Drukker,Mariño,Putrov'11]

$$\mathcal{F}_1(\lambda) = -\log \eta(\tau - 1) + \frac{1}{6} \log \lambda + 2\zeta'(-1) + \frac{1}{6} \log \frac{\pi}{2}$$

$\tau(\lambda)$  is the modular parameter of the elliptic curve in the mirror geometry.

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► By holomorphic anomaly equation, higher genus take the form

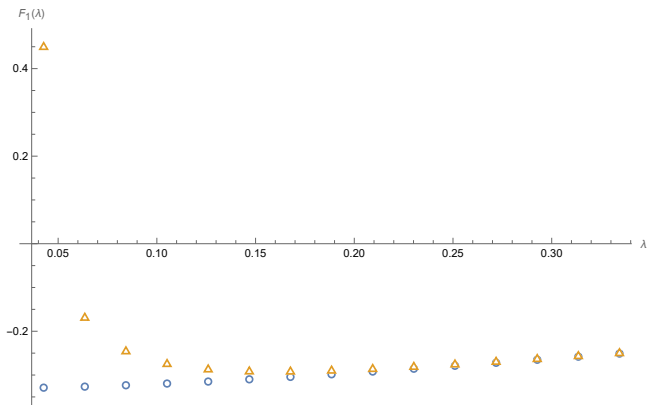
$$\mathcal{F}_{g \geq 2}(\lambda) = \frac{1}{(bd^2)^{g-1}} \sum_{n=0}^{3g-3} E_2^n(\tau) p_n^{(g)}(b, d)$$

$b = \vartheta_2^4(\tau)$ ,  $d = \vartheta_4^4(\tau)$  and  $p_n$  are polynomials in  $b$  and  $d$ .

► The  $p_n$  can be found algorithmically, but **no known generating functional**.



- ▶ Compare to our perturbative results. At genus 1,



Triangles: perturbative result; circles: topological string.

- ▶ Easy to extract higher genus from IIA expansion of Airy.  
More efficient than solving the holomorphic anomaly equation.

- ▶ With mass deformations, **no duality** to TS.
- ▶ Extract perturbative free energies from Airy conjecture.
- ▶ Setting  $m_3 = 0$ ,  $m_{\pm} = m_2 \pm m_1$  for illustration

$$\mathcal{F}_0(\lambda; m_{\pm}) = \frac{4\pi^3\sqrt{2}}{3} \sqrt{(1+m_+^2)(1+m_-^2)} \hat{\lambda}^{3/2} + \frac{\zeta(3)}{2} \frac{2 - m_+^2 - m_-^2}{2}$$

...

up to  $\mathcal{O}(e^{-\sqrt{\lambda}})$  corrections.

- ▶ Can such results be obtained directly in IIA strings?  
Need to consider AdS backgrounds with **running scalars**...

## Further corrections

- ▶ Focus so far on LO and NLO terms in the large  $N$  expansion

$$\mathcal{F}(S^3) = -\frac{\pi\sqrt{2k}}{3} N^{3/2} + \frac{\pi(k^2 + 8)}{24\sqrt{2k}} N^{1/2} - \frac{1}{4} \log N + \dots + \mathcal{O}(e^{-\sqrt{N}})$$

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- ▶ The log term comes from one-loop effects around the AdS<sub>4</sub> background of the Kaluza-Klein gravity theory after reduction on  $S^7/\mathbb{Z}_k$ .

[Bobeu, David, Hong, VR, Zhang '23]

- ▶ Must deal with infinitely many fields in the “EFT”.

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- ▶ The leading non-perturbative term comes from fundamental strings wrapping  $\mathbb{CP}^1 \subset \mathbb{CP}^3$ .
- ▶ Explicit precision checks of AdS/CFT involving quantum gravity effects.

[Gautason, Puletti, van Muiden '23]

# Conclusion



- ▶ Localization in SCFTs encode quantum gravity effects on AdS.
- ▶ Can also study  $S^1 \times S^2$  topologies rather than  $S^3$ 
  - relevant for BPS black holes in AdS.

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Thank you for your attention!